

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
08440/003001

In Re Application Of: Kazuhiko YAMANOUCHI

Serial No.
10/085,943

Filing Date
February 28, 2002

Examiner

Group Art Unit
2834

Title: SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC WAVE FUNCTIONAL ELEMENT

COPY OF PAPERS
ORIGINALLY FILED

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

PIPE
JUN 19 2002
OFFICE
COPY & TRADEMARK

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
08440/003001

In Re Application of Kazuhiko YAMANOCHI

Serial No.
10/085,943

Filing Date
February 28, 2002

Examiner

Group Art Unit
2834

Title: SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC WAVE FUNCTIONAL ELEMENT

COPY OF PAPERS
ORIGINALLY FILED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Information Disclosure Statement w/ listed references

Transmittal of Priority Documents,

Japanese Application Nos. 2001-110641, filed March 4, 2001, and 2001-379311, filed November 6, 2001

Request to Correct Filing Receipt

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney Street, Suite 2800
Houston, Texas 77010

Telephone: 713-228-8600
Facsimile: 713-228-8778

Dated: 5/28/02

I certify that this document and fee is being deposited on 5/23/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

08440/003001

In Re Application Of: Kazuhiko YAMANOUE



Serial No.

10/085,943

Filing Date

February 28, 2002

Examiner

Group Art Unit

2834

Title: SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC WAVE FUNCTIONAL ELEMENT

COPY OF PAPERS

ORIGINALLY FILED

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 5/23/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney Street, Suite 2800
Houston, Texas 77010

Telephone: 713-228-8600

Facsimile: 713-228-8778

Dated: May 23, 2002

CC:

(Use several sheets if necessary)

RE CITATION
 (Secretary)
 JUN 19 2002
 PIPE JOINT
 PATENT & TRADEMARK OFFICE

08440/003001

10/085,943

Kazuhiko YAMANOUCHI

February 28, 2002

2834

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A3	Patent Abstracts of Japan, Publication No. 07-015274, Publication Date 01/17/1995, 2 pages
A4	Patent Abstracts of Japan, Publication No. 63-260213, Publication Date 10/27/1988, 2 pages

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.